

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

U.S. PATENT & TRADEMARK OFFICE
MAY 19 2003
PATENT & TRADEMARK OFFICE
ATTY. DKT. NO. 5838-00300
APPLICANT: Murphy et al.
FILING DATE: May 24, 2001

SERIAL NO. 09/864,510

GROUP: 3732

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

RL	AA1	T. Shiga et al. "Deformation of Polyelectrolyte Gels under the Influence of Electric Field" <i>Journal of Applied Polymer Science</i> , 1990 , 39, 2305.
	AA2	J. Bohm et al. "Endoventricular Patch Plasty for Restoration of Ventricular Geometry and Pump Function in Ventricular Aneurysm" <i>Z. Kardiol</i> , 1996 , 85, Supplement No. 4, 43-46.
	AA3	Di Donato et al., "Early Hemodynamic Results of Left Ventricular Reconstructive Surgery for Anterior Wall Left Ventricular Aneurysm" <i>The American Journal of Cardiology</i> , 1992 , 69, 886-890.
	AA4	V. Dor, "Left Ventricular Aneurysms: The Endoventricular Circular Patch Plasty" <i>Seminars in Thoracic and Cardiovascular Surgery</i> , 1997 , 9, No. 2, 123-130.
	AA5	V. Dor et al., "Ventricular remodeling in coronary artery disease" <i>Current Opinion in Cardiology</i> , Centre Cardio-Thoracique de Monaco, 1997 , 12, 533-537.
	AA6	A. D. Jatene, "Left Ventricular aneurysmectomy: Resection or reconstruction" <i>The Journal of Thoracic and Cardiovascular Surgery</i> , 1985 , 89, 321-331.
	AA7	K. Emmrich, "Contribution to the Discussion of the Lecture by J. Bohm, Berlin" <i>Z. Kardiol</i> , 1996 , 85, Supplement No. 4, 47-48.
	AA8	V. Dor, "Reconstructive Left Ventricular Surgery for Post-Ischemic Akinetic Dilatation" <i>Seminars in Thoracic Surgery</i> , 1997 , 9, No. 2, 139-145.
	AA9	T. Kono et al., "Left Ventricular Shape Is the Primary Determinant of Functional Mitral Regurgitation in Heart Failure" <i>JACC</i> , Dec. 1992 , Vol. 20, No. 7, p. 1594-1598.
RL	AA10	G. E. Burch et al., "Angle of traction of the papillary muscle in normal and dilated hearts: A theoretic analysis of its importance in mitral valve dynamics" <i>American Heart Journal</i> , July 1972 , Vol. 84, No. 1, p. 141-144.

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DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
RL	BB1	5904680	05/18/1999	Kordis et al.			
	BB2	6221104	04/24/2001	Buckberg et al.			
RL	BB3	6544167	04/08/2003	Buckberg et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

RL	BB4	R. E. Michler et al., "Minimally Invasive Mitral Valve Replacement and Multivessel Coronary Artery Bypass Through a Limited Right Lateral Thoracotomy using a Balloon Aortic Cannula" <i>The Heart Surgery Forum</i> , 2001, Vol. 5, No. 1, p. 49-51.-					
RL	BB5	V. Dor, "Left ventricular restoration by endoventricular circular patch plasty (EVCPP)" <i>Z. Kardiol</i> , 2000, Vol. 89, Suppl. 7, p. VII/70 - VII/75.					
RL	BB6	V. Dor et al., "Endoventricular Patch Reconstruction in Large Ischemic Wall-Motion Abnormalities" <i>J. Card Surg</i> , 1999, 14, 46-52.					
	BB7	V. Dor, M.D. et al. "Ventricular Remodeling in Coronary Artery Disease" <i>Current Opinion in Cardiology</i> , 1997, 533-537.					

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DATE CONSIDERED:

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Electronic Information Disclosure Statement



VENTRICULAR RESTORATION SHAPING APPARATUS AND METHOD OF USE

Application:



09/864510

Confirmation: 2445

Applicant(s): Gregory Murphy

Docket

5838-00300

Number:

Group Art

Unit:

Examiner:

Unknown

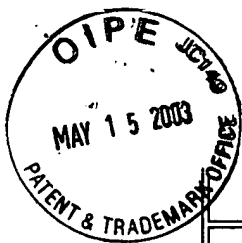
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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
PL	P01	5923770	1999-07-13		O'Donnell et al.		
PL	P02	6205411	2001-03-20		DiGioia, III et al.		
PL	P03	6366684	2002-04-		Gerard et al.		



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R	P04	6109852	2000-08-29		Shahinpoor et al.
	P05	5944738	1999-08-31		Amplatz et al.
	P06	5725552	1998-03-10		Kotula et al.
	P07	5846261	1998-12-08		Kotula et al.
	P08	5334146	1994-08-02		Ozasa
	P09	2701559	1955-02-08		Cooper
	P10	5800528	1998-09-01		Lederman et al.
	P11	3874388	1975-04-01		King et al.
	P12	3983863	1976-10-05		Janke et al.
	P13	4690134	1987-09-01		Snyders
	P14	4821723	1989-04-18		Baker, Jr. et al.
	P15	4917089	1990-04-17		Sideris
	P16	4957477	1990-09-18		Lundback
	P17	4973300	1990-11-27		Wright
	P18	5041130	1991-08-20		Cosgrove et al.
	P19	5131905	1992-07-21		Grooters
	P20	5192314	1993-03-09		Daskalakis
V	P21	5258000	1993-11-02		Gianturco
R	P22	5425744	1995-06-		Fagan et al.

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P23	5433727	1995-07-18		Sideris	
P24	5451235	1995-08-19		Lock et al.	
P25	5507811	1996-04-16		Koike et al.	
P26	5797960	1998-08-25		Stevens et al.	
P27	5846260	1998-12-08		Maahs	
P28	5411527	1995-05-02		Alt	
P29	4938231	1990-07-03		Milijasevic et al.	
P30	6179791	2001-01-30		Krueger	
P31	5702343	1997-12-30		Alferness	
P32	6162537	2000-12-19		Martin et al.	
P33	6322588	2001-11-27		Ogle et al.	
P34	6368356	2002-04-09		Zhong et al.	

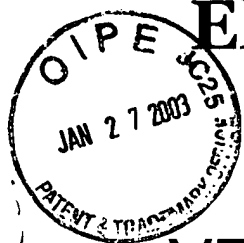
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Examiner Name	Date
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Electronic Information Disclosure Statement

T.H.
2-20-03
#14/Prior Art

VENTRICULAR RESTORATION SHAPING APPARATUS AND METHOD OF USE

Application: 
09/864510

Confirmation: 2445

Applicant(s): Gregory Murphy

Docket Number: 5838-00300

Group Art Unit: 3732

Examiner: R. A. Lewis

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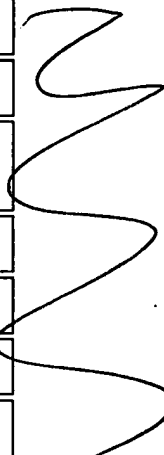


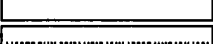
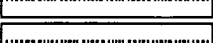


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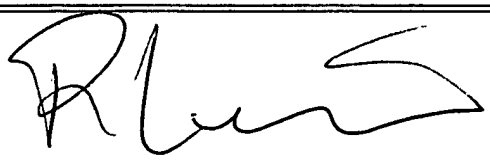
US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
RL	P01	5489296	1996-02-06		Love et al.		
	P02	5843177	1998-12-01		Vanney et al.		
	P03	5885228	1999-03-23		Rosenman et al.		
	P04	6019739	2000-02-01		Rhee et al.		
	P05	6143012	2000-11-07		Gausepohl		
	P06	6231601	2001-05-15		Myers et al.		
RA	P07	6350281	2002-02-26		Rhee et al.		

P08	5951543	1999-09-14		Brauer
P09	6004329	1999-12-21		Myers et al.
P10	6162168	2000-12-19		Schweich, Jr. et al.
P11	6179971	2001-01-30		Krueger
P12	6183411	2001-02-06		Mortier et al.
P13	6406420	2002-06-18		McCarthy et al.

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Examiner Name	Date
	2/13/2003